

A SURVEY OF STATE CORPORATE SOCIAL RESPONSIBILITY POLICIES AND THEIR RELEVANCE TO BUSINESS AND HUMAN RIGHTS

Carried out by the Special Representative of the Secretary-General on human
rights and transnational corporations and other business enterprises

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1. Has your national government or any local governments adopted a corporate social responsibility (CSR) policy or policies*?

*Note that the phrase corporate social responsibility policy not only relates to official policy statements but also to less formal action plans, strategies, position statements, guidelines, white papers, work programs etc dealing with responsible business conduct vis-à-vis social issues, including human rights.

IF YES TO QUESTION 1:

Drafting and administration

1. How was the policy developed - which department(s) drafted the policy and was there a government/public consultation about the policy? Is the policy publicly available?
2. Which government department(s) administers the policy and, where applicable, has overall responsibility? What steps have been taken or are being planned to inform other government departments about the policy and coordinate implementation?
3. Is the policy a stand-alone policy or is it part of another policy?
4. Does the policy include a review process in the near or long-term future?

Substance: aims and guidance

5. What are the policy's stated aims and main elements?
6. Does the policy apply to all types of companies (i.e. listed v private; state owned enterprises)?
7. Which departments and other state or quasi-state agencies (i.e. export credit agencies; development agencies) does the policy mention and how? For example, does it discuss steps state or quasi-state agencies could take to encourage CSR, i.e. through trade/investment, state purchasing/procurement, state owned enterprises?
8. Does the policy expressly mention human rights, including international human rights and labor standards, and if so, in what way?
9. Does the policy provide guidance on the activities abroad of companies incorporated in your state?
10. Does the policy provide guidance related to the activities of subsidiaries/suppliers or other business partners of companies incorporated in your state?
11. Does the policy provide guidance on how companies may integrate CSR or more specifically, human rights considerations, into their operations?
12. Does the policy refer to binding legal obligations on companies (such as the development of mandatory sustainability reporting laws etc)?

Substance: international frameworks

13. Does the policy refer to international CSR standards (e.g. the OECD Guidelines on Multinational Enterprises) or initiatives (i.e. the UN Global Compact)?
14. Does the policy discuss collaboration/capacity building with other states or international institutions on CSR related issues, including human rights?

IF NO TO QUESTION 1:

15. Is your state considering drafting such a policy? If yes:
16. What will be the likely process - which department(s) will have authority over the drafting process? Is multi-departmental collaboration envisaged; a government or public consultation?
17. Do you expect any forthcoming policy to reference international human rights, labor or CSR standards?